SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add <u>683 Capital</u>	nt, LLC	2. Date of Even Requiring State Month/Day/Yea 02/08/2018	ment	3. Issuer Name and Ticker or Trading Symbol Onconova Therapeutics, Inc. [ONTX]						
(Last) 3 COLUMBU SUITE 2205	(First) S CIRCLE	(Middle)			4. Relationship of Reporting Per (Check all applicable) Director X Officer (give title below)		er ecify	(Mont 02/12	h/Day/Year) 2/2018	te of Original Filed
(Street) NEW YORK	NY	10019				··· ,		Applic	able Line) Form filed by	y One Reporting Person y More than One
(City)	(State)	(Zip)	able I - Noi	n-Derivat	tive Securities Beneficia	Ily Owned				
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owners Form: Dire or Indirect (Instr. 5)	ect (D) (I	I. Natu Instr. !		Beneficial Ownership
Common Stock, par value \$0.01 per share ⁽¹⁾					2,464,934	I ⁽²⁾	(⁽²⁾ By 68		83 Capital Partners, LP	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Secur Underlying Derivative Secur		4. Convers or Exerc Price of	ersion	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratior Date	Title	Amount or Number of Shares	Derivative Security		or Indirect (I) (Instr. 5)	
Series A Conv Warrant	ertible Preferre	ed Stock Purchase	02/12/2018	12/31/2019	Series A Convertible Preferred Stock ⁽³⁾	200,000	10.10	(4)	I (1)(2)	By 683 Capital Partners, LP

Explanation of Responses:

1. This Form 3/A is being filed jointly by 683 Capital Management, LLC ("683 Management"), 683 Capital Partners, LP ("683 Partners") and Ari Zweiman (collectively, the "Reporting Persons") to correct the original Form 3 filed on February 12, 2018. Each of the Reporting Persons may be deemed to be a member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.

2. Represents securities held directly by 683 Partners. 683 Management is the investment manager of 683 Partners. Ari Zweiman is the Managing Member of 683 Management. As a result, each of 683 Management and Ari Zweiman may be deemed to beneficially own the securities held by 683 Partners.

3. Each share of Series A Convertible Preferred Stock of the Issuer is convertible into 10 shares of Common Stock of the Issuer, subject to adjustment.

4. The exercise price of the Series A Convertible Preferred Stock Purchase Warrant is \$1.01 per 0.1 shares of Series A Convertible Preferred Stock, or \$10.10 per share of Series A Convertible Preferred Stock.

<u>/s/ Ari Zweiman, Managing</u>	
Member of 683 Capital GP,	
LLC, in its capacity as general	<u>02/16/2018</u>
partner of 683 Capital Partners,	
LP	
<u>/s/ Ari Zweiman, Managing</u>	
Member of 683 Capital	<u>02/16/2018</u>
Management, LLC	
<u>/s/ Ari Zweiman</u>	<u>02/16/2018</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.