SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	ss of Reporting Pers	son*	2. Issuer Name and Ticker or Trading Symbol Onconova Therapeutics, Inc. [ONTX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Kumar Ramesh</u>				X	Director	10% Owner			
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)	Other (specify below)			
C/O ONCONOVA THERAPEUTICS, INC.			07/30/2013		President and CEO				
375 PHEASANT RUN									
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filin	g (Check Applicable			
NEWTOWN	PA	18940		X	Form filed by One Rep	orting Person			
					Form filed by More tha Person	n One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	07/30/2013		С		3,748	A	(1)	246,696	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		17,424	A	(2)	264,120	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		737	A	(3)	264,857	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		1,657	A	(4)	266,514	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		811	A	(5)	267,325	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		385	A	(6)	267,710	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		573	A	(7)	268,283	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		375	A	(8)	268,658	D ⁽¹⁰⁾	
Common Stock	07/30/2013		С		75	A	(9)	268,733	D ⁽¹⁰⁾	
Common Stock	07/30/2013		Р		6,667	A	\$15	275,400	D ⁽¹⁰⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(eigh pure, currer, character, optione, contention coourtico)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) Securities		ate	of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series A Convertible Preferred Stock	(1)	07/30/2013		С			4,667	(1)	(1)	Common Stock	3,748	\$0	0	D	
Series B Convertible Preferred Stock	(2)	07/30/2013		С			20,645	(2)	(2)	Common Stock	17,424	\$0	0	D	
Series C Convertible Preferred Stock	(3)	07/30/2013		С			983	(3)	(3)	Common Stock	737	\$0	0	D	
Series D Convertible Preferred Stock	(4)	07/30/2013		С			2,209	(4)	(4)	Common Stock	1,657	\$0	0	D	
Series E Covertible Preferred Stock	(5)	07/30/2013		С			1,084	(5)	(5)	Common Stock	811	\$0	0	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	Expiration Date of Securi (Month/Day/Year) Underlyin Derivativ (Instr. 3 a		Expiration Date (Month/Day/Year)		and 7. Title and Amou of Securities Underlying Derivative Securi (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Series F Convertible Preferred Stock	(6)	07/30/2013		С			500	(6)	(6)	Common Stock	385	\$0	0	D			
Series G Convertible Preferred Stock	(7)	07/30/2013		С			765	(7)	(7)	Common Stock	573	\$0	0	D			
Series H COnvertible Preferred Stock	(8)	07/30/2013		С			500	(8)	(8)	Common Stock	375	\$0	0	D			
Series I Convertible Preferred Stock	(9)	07/30/2013		С			100	(9)	(9)	Common Stock	75	\$0	0	D			

Explanation of Responses:

1. The Series A Convertible Preferred Stock converted into Common Stock on a 0.80-for-1 basis and had no expiration date.

2. The Series B Convertible Preferred Stock converted into Common Stock on a 0.85-for-1 basis and had no expiration date.

3. The Series C Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.

4. The Series D Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.

5. The Series E Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date. 6. The Series F Convertible Preferred Stock converted into Common Stock on a 0.77-for-1 basis and had no expiration date.

7. The Series G Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.

8. The Series H Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.

9. The Series I Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.

10. 150,037 shares are held indirectly by the Ramesh Kumar 2012 Trust, of which the reporting person is a trustee.

<u>/s/ Ramesh Kumar</u>

07/30/2013

** Signature of Reporting Person

n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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