# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

## FORM 8-K

#### CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): May 5, 2023

## **Onconova Therapeutics, Inc.**

(Exact name of Registrant as specified in its charter)

**Delaware** (State or Other Jurisdiction of Incorporation or Organization) **001-36020** (Commission File Number) 22-3627252 (I.R.S. Employer Identification No.)

12 Penns Trail Newtown, PA 18940 (267) 759-3680

(Address, Including Zip Code, and Telephone Number, Including Area Code, of Registrant's Principal Executive Offices)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$.01 per share	ONTX	The Nasdaq Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

#### Item 8.01. Other Events.

On May 5, 2023, Onconova Therapeutics, Inc. (the "Company") received a letter from The Nasdaq Stock Market LLC ("Nasdaq") stating that the Company had regained compliance with the minimum bid price requirement of Nasdaq Listing Rule 5550(a)(2) because the Company's common stock had a minimum closing price of at least \$1.00 per share for a minimum of ten consecutive business days. A copy of Nasdaq's letter is attached as Exhibit 99.1 to this report.

## Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No.	Description
<u>99.1</u>	Letter from Nasdaq Dated May 5, 2023
104	Cover page Interactive Data file (embedded with the inline XBRL document)

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: May 9, 2023

Onconova Therapeutics, Inc.

By: /s/ MARK GUERIN

Name: Mark Guerin Title: Chief Operating and Chief Financial Officer

# Nasdaq Regulation





By Electronic Delivery to: mguerin@onconova.us

May 5, 2023

Mr. Mark Guerin Chief Operating Officer & Chief Financial Officer Onconova Therapeutics, Inc. 12 Penns Trail Newtown, PA 18940

Re: Onconova Therapeutics, Inc. (the "Company") Nasdaq Symbol: ONTX

Dear Mr. Guerin:

On March 28, 2023, Staff notified the Company that its common stock failed to maintain a minimum bid price of \$1.00 over the previous 30 consecutive business days as required by the Listing Rules of The Nasdaq Stock Market. Since then, Staff has determined that for the last 10 consecutive business days, from April 21, 2023 to May 4, 2023, the closing bid price of the Company's common stock has been at \$1.00 per share or greater. Accordingly, the Company has regained compliance with Listing Rule 5550(a)(2) and this matter is now closed.

If you have any questions, please contact me, Listing Analyst, at +1 301 532 6389.

Sincerely,

Matri

Patryk Muter Listing Analyst Nasdaq Listing Qualifications

Nasdaq Regulation